



Broker Membership Agreement

This Broker Membership Agreement (“the Agreement”) is entered into between

A. Fish Pool ASA (“Fish Pool”),

address; Fantoftvegen 38, 5072 Bergen,

Legal Entity Identifier (LEI): 213800EH1XXWX1VYBV42

Reg. No: 982 985 110 in the Norwegian Company Register,

and;

B. _____ (“the Broker”),

address; _____

Legal Entity Identifier (LEI) _____

Reg. No. _____ in the (name of company register) _____

collectively referred to as “the Parties”.

1. Upon entering into this Agreement the Broker obtains the right report Trades in Products at Fish Pool, as further regulated and defined in this Agreement, in the Rulebook and the Appendices.
2. The Broker hereby accepts to be bound by the terms of the Rulebook and the Appendices as amended from time to time.
3. In the event of a conflict between the Rulebook, the Appendices and this Agreement, this Agreement shall prevail.
4. The Broker represents that it has all requisite power and authority and legal right to enter into this Agreement under its articles of association, by laws or any other document and to report in accordance with this Agreement, the Rulebook and the Appendices. The Broker represents that it maintains all necessary regulatory authorisations to broke financial contracts according to the laws in its country or the equivalent rules of the European Community.
5. The Broker represents that the Responsible Person(s) and Trader(s) appointed by the Broker are authorised and empowered to act on behalf of the Broker at Fish Pool. The Broker shall inform Fish Pool instantly of its intention to withdraw such authorisations.
6. The Broker represents that all information it has provided or will provide to Fish Pool is true, accurate and correct.
7. The Broker shall promptly notify Fish Pool in case of any breach of representations.
8. Each Party may upon 1 – one – month's written notice terminate this Agreement.
9. If at any time any provision of this Agreement, the Rulebook or the Appendices becomes illegal, invalid or unenforceable in any aspect under the law of any relevant jurisdiction, the remaining provisions shall not be affected or impaired thereby.
10. Changes to this Agreement require written approval of both Parties. Changes to the Rulebook, the Appendices or any written agreement between the Parties require a notice according to the Rulebook. Fish Pool may inform the Broker of changes by email to the Responsible Person.
11. Fish Pool requires a valid Legal Entity Identifier (LEI) from all Brokers. Brokers must notify Fish Pool of changes to their LEI. No Broker can place trades without a registered LEI.
12. The Broker may not assign or transfer any rights or obligations under the Agreement without the prior written consent of Fish Pool.
13. Fish Pool will automatically record all telephone conversations. The recordings can be made available for the Broker according to law and Fish Pool Rulebook. Fish Pool may also save all written communication with the Broker.

14. The Broker hereby authorises Fish Pool to tape telephone conversations and store written communication with all representatives of the Broker, and to keep such recordings in its custody or in the custody of a representative in accordance with applicable data protection legislation in force. Fish Pool is responsible for the confidentiality of the recorded telephone conversations. The recordings and written communication can only be used as evidence of entered Trades.

15. Any dispute between the Parties shall be settled according to the procedures specified in the Rulebook.

Bergen,	Place, date
Fish Pool ASA	Signature
	Broker
Repeat signature in block letters	Repeat signature in block letters

Authority to Trade on behalf of the Broker

Responsible full person name:
National Identifier:
Date of birth:
Phone number:
E-mail:

Trader; (fill out for more than one person if needed)

Trader full person name:	Trader full person name:
National Identifier:	National Identifier:
Date of birth:	Date of birth:
Phone number:	Phone number:
E-mail:	E-mail:

I confirm that the information in this Agreement is true and accurate. I confirm that the persons mentioned above have the needed powers, experience and competence to trade at Fish Pool.

This Agreement must be signed by a person authorised to sign on behalf of the Broker.

Place, date	Broker:
Signature for an on behalf of the Broker	Repeat signature in block letters